P21902.P01

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| Attorney Docket No. P21902 | Total Pages |

**Inventor(s) or Applicant Identifier**
Wolfgang RUF, Konstantin FENKL, and Hans LOSER

**Title:** LAMELLA OF A HEADBOX OF A PAPER, CARBOARD OR TISSUE MACHINE

U.S. PTO
10/072876
02/12/02

02/12/02 J1132 U.S. PTO

**ADDRESS TO:**
Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

## APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form
   (Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.
   See 37 CFR 1.27.
   a. ☐ Small Entity Statement(s)

3. ☒ Specification [Total Pages __21__]
   (preferred arrangement set forth below)
   - Descriptive title of the Invention
   - Cross References to Related Applications
   - Statement Regarding Fed sponsored R & D
   - Reference to sequence listing, a table, or a computer program listing appendix
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawings (if filed)
   - Detailed Description
   - Claim(s)
   - Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total Sheets __3__]

5. ☒ Oath or Declaration [Total Pages __4__]
   a. ☒ Newly executed (original or copy) ☐ Unexecuted
   b. ☐ Copy from a prior application (37 CFR 1.63(d))
      (for continuation/divisional with Box 20 completed)
      **[Note Box 6 below]**
      i. ☐ DELETION OF INVENTOR(S)
         Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Incorporation By Reference (useable if Box 5b is checked)
   The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

7. ☐ Application Data Sheet. See 37 CFR 1.76

8. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)

## ACCOMPANYING APPLICATION PARTS

9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
   a. ☐ Computer Readable Form (CRF)
   b. Specification Sequence Listing on:
      i. ☐ CD-ROM or CD-R (2 copies); or
      ii. ☐ paper
   c. ☐ Statements verifying identity of above copies

10. ☒ Assignment Papers (cover sheet & document(s))

11. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
    (when there is an assignee)

12. ☐ English Translation Document (if applicable)

13. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

14. ☐ Preliminary Amendment

15. ☒ Return Receipt Postcard (MPEP 503)
    (Should be specifically itemized)

16. ☒ Figure of Drawing to be published __3A__

17. ☒ Foreign priority claimed
    a. ☒ Claim of Priority
    b. ☒ Certified Copy of Priority Document(s)

18. ☒ Assignee: VOITH PAPER PATENT GmbH of Heidenheim, GERMANY

19. ☐ Other: _____

20. **If a CONTINUING APPLICATION,** check appropriate box and supply the requisite information:
    ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No. _____/_____, filed _____.
    Prior application information: Examiner: _____ Group Art Unit: _____

21. ☐ Amend the specification by inserting before the first line the sentence:
    This application is a __ continuation-in-part, __ continuation, __ divisional, of Application No. _____/_____, filed _____.
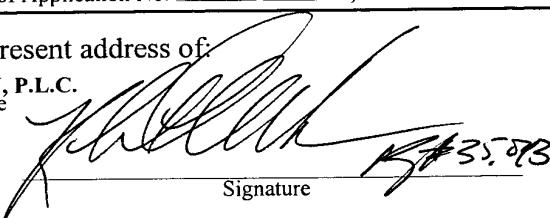
Address all future correspondence to **Customer No. 7055** at the present address of:

**GREENBLUM & BERNSTEIN, P.L.C.**
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

Date: January 12, 2002

Signature

Neil F. Greenblum, Reg No. 28,394
Typed or Printed Name

07055

# FEE TRANSMITTAL

| Complete if Known | |
|---|---|
| Application Number | Not Yet Assigned |
| Filing Date | Concurrently Herewith |
| First Named Inventor | W. RUF et al. |
| Group Art Unit | Unassigned |
| Examiner Name | Unassigned |

| TOTAL AMOUNT OF PAYMENT | ($)1320.00 | Attorney Docket Number | P21902 |
|---|---|---|---|

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number __19-0089__

Deposit Account Name __GREENBLUM & BERNSTEIN, P.L.C.__

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

☐ Applicant Claims Small Entity Status See 37 CFR 1.27.

2. ☒ Payment Enclosed:
☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION (fees effective 10/01/01)

### 1. FILING FEE

| Large Entity Fee Code | Fee ($) | Small Entity Fee Code | Fee ($) | Fee Description | Fee Paid |
|---|---|---|---|---|---|
| 101 | 740 | 201 | 370 | Utility filing fee | 740 |
| 106 | 330 | 206 | 165 | Design filing fee | |
| 107 | 510 | 207 | 255 | Plant filing fee | |
| 108 | 740 | 208 | 370 | Reissue filing fee | |
| 114 | 160 | 214 | 80 | Provisional filing fee | |

SUBTOTAL (1) ($)____740____

### 2. CLAIMS

| | | Extra | | Fee from below | | Fee Paid |
|---|---|---|---|---|---|---|
| Total Claims _50_ -20= | | 30 | x | 18 | = | 540 |
| Independent _3_ -3= Claims | | 0 | x | 84 | = | 0 |
| Multiple Dependent Claims | | | x | 280 | = | 0 |

| Large Entity Fee Code | Fee ($) | Small Entity Fee Code | Fee ($) | Fee Description |
|---|---|---|---|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claim |
| 109 | 84 | 209 | 42 | Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) ($)____540____

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code | Fee ($) | Small Entity Fee Code | Fee ($) | Fee Description | Fee Paid |
|---|---|---|---|---|---|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR Prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for response within 1st month | |
| 116 | 400 | 216 | 200 | Extension for response within 2nd month | |
| 117 | 920 | 217 | 460 | Extension for response within 3rd month | |
| 118 | 1,440 | 218 | 720 | Extension for response within 4th month | |
| 128 | 1,960 | 228 | 980 | Extension for response within 5th month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive unavoidably abandoned application | |
| 141 | 1,280 | 241 | 640 | Petition to revive unintentionally abandoned application | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of IDS | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 40 |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) ($)____40____

*Reduced by Basic Filing Fee paid

| SUBMITTED BY | | Complete (if applicable) | |
|---|---|---|---|
| Typed or Printed Name | Neil F. Greenblum | Reg. Number | 28,394 |
| Signature | [signature] #55, 8/3 Date 02/12/02 | Deposit Account User ID | |

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

02/15/2002 HREKONEN 00000042 10072876
01 FC:101                    740.00 DP
02 FC:103                    540.00 DP

PTO-1556
  (5/87)
*U.S. GPO: 2000-468-987/39595